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OCT 18 2006

PTO/SB/17 (07-06)

Approved for use through 01/31/2007. OMB 0861-0032

U.S. Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE

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Effective on 12/08/2004.

Fees pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4918).

FEE TRANSMITTAL

For FY 2005

☐ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$) 180.00
Complete if Known

Application Number	10/710,690
Filing Date	07/28/2004
First Named Inventor	Chun-Yuan Chen
Examiner Name	Shallenberger, Julie A
Art Unit	2875
Attorney Docket No.	AUOP0041USA

METHOD OF PAYMENT (check all that apply)
☐ Check ☐ Credit Card ☐ Money Order ☐ None ☐ Other (please identify): _____

☒ Deposit Account Deposit Account Number: **50-3105** Deposit Account Name: **North America Intellectual Property Corporation**

For the above-identified deposit account, the Director is hereby authorized to: (check all that apply)

☒ Charge fee(s) indicated below ☐ Charge fee(s) indicated below, except for the filing fee

☒ Charge any additional fee(s) or underpayments of fee(s) under 37 CFR 1.16 and 1.17 ☒ Credit any overpayments

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.
FEE CALCULATION**1. BASIC FILING, SEARCH, AND EXAMINATION FEES**

Application Type	FILING FEES		SEARCH FEES		EXAMINATION FEES		Fees Paid (\$)
	Small Entity	Fee (\$)	Small Entity	Fee (\$)	Small Entity	Fee (\$)	
Utility	300	150	500	250	200	100	
Design	200	100	100	50	130	65	
Plant	200	100	300	150	160	80	
Reissue	300	150	500	250	600	300	
Provisional	200	100	0	0	0	0	

2. EXCESS CLAIM FEES

Fee Description	Fee (\$)	Small Entity Fee (\$)
Each claim over 20 (including Reissues)	50	25
Each independent claim over 3 (including Reissues)	200	100
Multiple dependent claims	360	180
Total Claims	Extra Claims	Fee (\$)
- 20 or HP = _____ x _____ = _____		
HP = highest number of total claims paid for, if greater than 20.		
Indep. Claims	Extra Claims	Fee (\$)
- 3 or HP = _____ x _____ = _____		
HP = highest number of independent claims paid for, if greater than 3.		

3. APPLICATION SIZE FEE

If the specification and drawings exceed 100 sheets of paper (excluding electronically filed sequence or computer listings under 37 CFR 1.52(e)), the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(f).

Total Sheets	Extra Sheets	Number of each additional 50 or fraction thereof	Fee (\$)	Fee Paid (\$)
_____ - 100 = _____ / 50	_____	_____ (round up to a whole number)	x _____	= _____

4. OTHER FEE(S)

Non-English Specification, \$130 fee (no small entity discount)

Other (e.g., late filing surcharge): submission of Information Disclosure Statement

Fees Paid (\$)**180.00****SUBMITTED BY**

Signature	<i>Winston Hsu</i>	Registration No. (Attorney/Agent)	41,526	Telephone	3027291562
Name (Print/Type)	Winston Hsu	Date	OCT 18 2006		

This collection of information is required by 37 CFR 1.138. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 30 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

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**North America
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Art Unit: 2875

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From : Winston Hsu, Registration No. 41,526

Serial No.: 10/710,690

Attorney Docket No.: AUOP0041USA

Subject: Information Disclosure Statement (IDS)

Total Pages: 113 pages (including cover page)

Winston Hsu Date: OCT 18 2006

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OCT 18 2006

PTO/SB/21 (07-06)

Approved for use through 09/30/2006. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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TRANSMITTAL FORM (to be used for all correspondence after initial filing)	Application Number	10/710,690	
	Filing Date	07/28/2004	
	First Named Inventor	Chun-Yuan Chen	
	Art Unit	2875	
	Examiner Name	Shallenberger, Julie A	
Total Number of Pages in This Submission	112	Attorney Docket Number	AUOP0041USA

ENCLOSURES (Check all that apply)		
<input checked="" type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment/Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Reply to Missing Parts/Incomplete Application <input type="checkbox"/> Reply to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation <input type="checkbox"/> Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____ <input type="checkbox"/> Landscape Table on CD	<input type="checkbox"/> After Allowance Communication to TC <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input type="checkbox"/> Other Enclosure(s) (please identify below):
Remarks		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm Name	North America Intellectual Property Corporation		
Signature	<i>Winston Hsu</i>		
Printed name	Winston Hsu		
Date	OCT 18 2006	Reg. No.	41,526

CERTIFICATE OF TRANSMISSION/MAILING

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below:			
Signature	<i>Jun Yu</i>		
Typed or printed name	Jun Yu	Date	OCT 18 2006

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

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Application Number	10/710,690
Filing Date	07/28/2004
First Named Inventor	Chun-Yuan Chen
Art Unit	2875
Examiner Name	Shaitenberger, Julie A.
Attorney Docket Number	AUCP0041USA

PAGE 4/29 * RCVD AT 10/18/2006 4:56:25 AM [Eastern Daylight Time] * SVR:USPTO-EFXRF-3/11 * DNIS:2738300 * CSID:17039974517 * DURATION (mm-ss):12-36

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PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Chun-Yuan Chen et al.
5 Filing Date: 07/28/2004
Art Unit: 2875
Examiner: SHALLENBERGER, JULIE A
Serial No.: 10/710,690
Docket No.: AUOP0041USA
10 Confirmation No. 4689

Title: BACK LIGHT MODULE FOR USE IN A DUAL-SIDED DISPLAY

To: Commissioner for Patents
15 P.O. BOX 1450
Alexandria, VA 22313-1450

Subject: Information disclosure statement under 37 CFR §1.56

20

Dear Sir:

This is an Information Disclosure Statement in accordance
with the duty to disclose information material to
25 patentability under 37 CFR §1.56. The applicant wishes to make

1/3

of record the document(s) listed on the accompanying form
PTO/SB/08.

All items of information contained in this IDS were first
5 cited in an Office communication mailed on 08/11/2006 for the
counterpart PROC patent application number 2004100698700,
which are no more than three months prior to the filing of
the information disclosure statement. Since this IDS is filed
after notice of allowance but before payment of the issue fee,
10 consideration of the information disclosure statement is
hereby requested according to 37 CFR \$1.97(d). And the fee
of submission of an information disclosure statement set forth
in \$1.17(p) is enclosed to fulfill \$1.97 requirement.

15 According to the requirement set forth in 37 CFR \$1.98 and
M.P.E.P 609, a copy of each cited reference (JP 2000-338483,
CN 1458547A, CN 1412814) and its English language abstract
is included to fulfill the concise explanation requirement.

20 It is respectfully requested that the examiner can consider
the document(s) listed on the accompanying form PTO/SB/08 and
that it be made of record in the application. The applicants
sincerely hope that the examiner initials the cited
reference(s) on the form and that a copy of the initialed form
25 be sent to the applicants with the next communication from

the examiner.

Respectfully submitted,

5

Winston Hsu

Date: OCT 18 2006

Winston Hsu, Patent Agent No. 41,526

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15 Note: Please leave a message in my voice mail if you need to talk to me. (The time in D.C. is 12 hours behind the Taiwan time, i.e. 9 AM in D.C. = 9 PM in Taiwan.)